



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****Complete if Known**

Application No.	10/601,173
Filing Date	June 19, 2003
First Named Inventor	Ka Shun Kevin Fung
Art Unit	3624
Examiner Name	Not yet known
Attorney Docket Number	23876-09105

Sheet	1	of	1
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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No. Number - Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
/A.V./		US-2001/0032175 A1	10-18-2001	Holden et al.
		US-2001/0034691 A1	10-25-2001	Guarino et al.
		US-2002/0026401 A1	02-28-2002	Hueler
		US-2002/0038273 A1	03-28-2002	Wherry et al.
		US-2002/0095369 A1	07-18-2002	Kaplan et al.
		US-2002/0156719 A1	10-24-2002	Finebaum et al.
		US-6304858 B1	10-16-2001	Mosler et al.
		US-6321212 B1	11-20-2001	Lange

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
	1	CN 1215709 A	10-02-2001	Future Circulation Network Co., Ltd.	
/A.V./	2	WO 02/056146 A2	07-18-2002	Vertical Crossings.com, Inc.	
	3	WO 02/13111 A1	02-14-2002	The Debt Exchange, Inc.	
	4	WO 02/37390 A2	05-10-2002	Liquidity Direct	
	5	WO 03050654 A2	06-19-2003	Bank One	

OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶

Examiner Signature	/Abhishek Vyas/	Date Considered	02/04/2008
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 If possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

23876/09105/DOCS/1444127.1

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /A.V./

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 2700P	SERIAL NO. To Be Assigned
	APPLICANT: FUNG	
	FILING DATE: Herewith	GROUP: To Be Assigned

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
/A.V./	6 3 9 0 4 7 2	05-21-02	Vinarsky			
↓						
	US 2002/0198816 A1	12-26-02	Gilbert et al.			
	US 2001/0034687 A1	10-25-01	Bushonville et al.			
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FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
/A.V./	WO 02/46999 A2	06-13-02	PCT - WIPO			X	

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

<http://www.intrade.com> 6/11/2002

<http://www.betfair.com> 3/18/2003

EXAMINER /Abhishek Vyas/	DATE CONSIDERED 02/04/2008
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